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PROCESS OF ORLISTAT-LOADED CHITOSAN NANOPARTICLES USING BOX-BEHNKEN DESIGN – AN EVALUATION STUDY

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ABSTRACT

Objective: High lipophilicity and extensive hepatic metabolism limit oral application of orlistat in obesity treatment. Orlistat-loaded chitosan nanoparticles (CONPs) were optimized by 3-factor 3-level Box–Behnken design (BBD) and surfaced engineered to address limitations.

Methods: CONPs were prepared by ionic gelation method. Amounts of chitosan (X_1) , sodium tripoly phosphate (X_2) , and orlistat (X_3) were selected as independent factors, whereas % entrapment efficiency (Y_1) and % drug release (Y_2) were employed as responses in BBD. Three-dimensional response surface plots were run to understand the main interaction and quadratic effects of independent variables. Further optimized formulation was surface engineered by Eudragit L-100 (ECONPs) and characterized by FTIR, DSC, XRD, particle size, zeta potential, and SEM. Entrapment efficiency, release kinetics, stability, and *in vitro* cell line studies were carried out.

Results: ECONPs were produced with an average size of 534.6 nm, zeta potential of +5.7 mV, EE of 78.62%, and DR of 80.86%. Eudragit coated CONPs anchored the release of orlistat at pH 6.8 desirable for duodenal targeting. Orlistat was released with low, burst, and sustained release manner over 24 h period followed first-order kinetics with Higuchi model with drug content of 84.87% and 78.44% of release. ECONPs possessed lipase inhibition with IC_{s0} value of 8.0 µg/ml and viability against selected cell lines with CTC_{s0} values (26.32–32.21 µg/ml).

Conclusion: BBD was a promising tool in elucidating the insights of formulation variables of CONPs. ECONPs fulfilled the rationale of orlistat release, lipase inhibition, and viability against selected cell lines.

Keywords: Chitosan, Hyperlipidemia, Ionic gelation, Orlistat, Response surface method.

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INTRODUCTION

The World Health Organization (WHO) pronounces that the obesity is as a chronic metabolic disease which affects 13% of world's population and its prevalence was tripled between 1975 and 2016 [1]. Obesity leads to cardiovascular disorders, osteoarthritis, disability and death [2,3]. Statins, fibrates, lipase inhibitors, bile acid sequesterants, and nicotinic acid derivatives are currently employed for the treatment of hyperlipidemia and obesity [4], but their therapeutic effectiveness is limited due to unavailability of targeted formulations.

Orlistat (tetrahydrolipstatin) is a lipase inhibitor and has poor water solubility, low melting point, low chemical stability, extensive first pass metabolism, waxy nature, and poor bioavailability (<10%) [5-7]. Orlistat was explored by various techniques such as extrusion, spheronization, micronization, pelletization, nanoemulsions, and multi-unit pellet systems (MUPS) [8]. However, none of the above approaches have effective against site specific release. Hence, orlistat requires effective formulation technology for specific/targeted delivery [9].

Chitosan, a biopolymer made nanoparticles were proved to be promised to circumvent such formulation based limitations [10,11]. Ionic gelation method was reliable to produce polymeric nanoparticles. Box–Behnken experimental design (BBD) is a successful statistical tool in design and optimization of the drug-loaded polymeric NPs [12,13]. Surface engineering of NPs was practiced to achieve site specific/ targeted release of many therapeutic moieties [14]. With above context, orlistat-loaded nanoparticles were designed and optimized by employing BBD, coated with Eudragit L-100 and assessed for their formulation effectiveness.

METHODS

Orlistat was a generous gift of M/s Aurobindo Pharma Ltd (Hyderabad, India), Chitosan, (water soluble, MW 50–100 kDa and degree of deacetylation>85%) was purchased from Aura Biotechnologies Pvt. Ltd, (Chennai, India). Sodium tripolyphosphate (TPP) was purchased from Sigma-Aldrich (Bangalore, India). Eudragit L-100, lactose was obtained from SD Fine Chem (Chennai, India). Porcine pancreatic lipase (Type II, EC 3.1.1.3), sodium deoxycholate, sodium phosphate monobasic, isopropanol, and p-nitrophenyl palmitate (pNPP), p-nitrophenyl butyrate (pNPB) purchased from M/s. Sigma-Aldrich (Bengaluru, India). Milli Q water was used throughout the study. All other chemicals and materials used in the study were generally recognized as safe with the pharmaceutical grade.

Drug-excipient compatibility studies (FTIR)

The identification of drug, its compatibility with excipients (chitosan, TPP) and their physical mixture were studied by Fourier Transform Infrared (FTIR) Spectroscopy (Shimadzu 8300E). FTIR spectra of orlistat, chitosan, TPP and their physical mixture were studied by KBr pellet method. Each spectrum of the sample was collected from 32 single average scans at a resolution of 4cm⁻¹ in the absorption region of 400–4000cm⁻¹ [15].

Preparation of orlistat-encapsulated chitosan nanoparticles

Orlistat-loaded chitosan nanoparticles (CONPs) were developed by ionic gelation of chitosan with TPP as cross linking agent. Various concentrations

of polymeric solution were prepared by dissolving chitosan in Milli-Q water. The pH of the polymeric solution was adjusted to 4.7–4.8 with 0.5% aqueous sodium hydroxide and equilibrated at $35\pm2^{\circ}$ C. The polymeric solution was filtered to remove undissolved or remnant chitosan particles through a syringe filter (0.45 µm, Millipore, Billerica, MA). Later, specified quantity of orlistat (dissolved in 0.5 ml of methanol) was added to the polymeric solution. Prescribed quantity of cross linker, TPP dissolved in Milli-Q water was passed through a syringe filter (pore size 0.22 µm,) and added drop-wise to the polymeric solution at preset constant stirring rate of 1000rpm. The resultant nanodispersion was sonicated further for 20 min. Subsequently, all other batches of nanopreparations were centrifuged at 10,000 rpm for 30 min; the sediment formed was dispersed in 1% lactose as cryoprotectant, lyophilized at –80 °C for 24 h and stored for further studies [10]. A total of 17 formulations were prepared at varied levels of factors as shown in Table 1.

Box-Behnken experimental design

Response surface methodology was adapted to optimize chitosan nanoparticles and correlate responses and factors. The study was focused to maximize encapsulation efficiency and cumulative drug release. Box-Behnken design was employed to evaluate the main interaction, and quadratic effects of chitosan concentration, TPP concentration and dose of orlistat on encapsulation and cumulative drug release. The three-factor three-level design was employed to get the second-order polynomial models using Stat-Ease's Design-Expert-8[®] (Version 8.0.7.1, Minneapolis, MN, USA). A design comprising 17 runs was developed, for which, the nonlinear computer-generated quadratic model can be expresses as:

$$R = b_0 + b_1 A + b_2 B + b_3 C + b_{12} A B + b_{13} A C + b_{23} B C + b_{11} A^2 + b_{22} B^2 + b_{33} C^2 (1)$$

Where, R is response, b_0 is intercept, b_1 to b_{33} are regression coefficients computed from the observed values of R from experiments, and A, B, and C are independent variables. The terms (AB, AC, and BC) and (A², B², and C²) represent the interaction and quadratic terms, respectively. Chitosan concentration (A), TPP concentration (B), and orlistat dose (C) are selected as independent variables. Their concentration ranges are depicted in Table 2 with low, medium, and high levels, which were selected on the basis of preliminary experiments in developing the nanoparticles. Encapsulation efficiency (Y₁) and cumulative drug release (Y₂) were taken as dependent variables [16-18].

Optimization, data analysis, and validation of the applied model ANOVA was used for the statistical validation of the polynomial equations generated by Design- Expert[®]. All the responses were fitted

Table 1: BBD matrix with three independent variables at three levels and observed responses

Run	Factor 1	Factor 2	Factor 3	Response 1 (Y ₁)	Response 2 (Y ₂)
	A:Chitosan (mg)	B:TPP (mg)	C:Orlistat (mg)	EE (%)	DR (%)
1	1000	400	60	41.68±0.69	63.87±0.81
2	1000	600	90	81.62±0.1.13	83.64±0.82
3	500	800	90	59.24±0.49	68.13±0.28
4	1000	600	90	82.09±0.29	85.67±1.17
5	500	400	90	73.84±0.36	69.82±0.57
6	1500	800	90	97.15±0.57	97.52±0.89
7	1000	800	120	66.57±0.91	81.53±1.18
8	1000	600	90	82.59±0.66	87.24±0.71
9	500	600	60	64.58±1.38	62.37±0.48
10	1000	400	120	76.93±0.89	84.19±0.56
11	500	600	120	72.56±1.37	69.76±1.07
12	1000	600	90	83.05±0.41	88.08±1.00
13	1000	600	90	84.86±0.47	88.37±0.25
14	1500	600	120	97.83±0.93	93.21±0.28
15	1500	400	90	65.26±0.73	80.14±1.02
16	1000	800	60	61.92±0.05	83.15±0.34
17	1500	600	60	65.28±0.33	80.24±0.79

All data are shown as mean ± S.D; n=6

to linear, second order, and quadratic models and then evaluated in terms of statistical significance of coefficients and R^2 squared values. Different possibilities were tried to find out the constituents for the optimized nanoparticles.

The three-dimensional (3D) response surface graphs were envisaged to draw relationship and interaction between the coded variables and the response. The optimum concentrations of independent variables based on the responses constrained in their minimum levels were selected. Total seven formulations were selected by point prediction; the checkpoint (optimized) formulations were formulated and characterized for the selected responses. The observed response values were compared with the predicted values and prediction errors (%) were calculated. The linear correlation and residual plots between observed and predicted responses were obtained [19,20].

Entrapment efficiency (%EE)

The amount of orlistat entrapped in NPs was determined by an indirect method. Before lyophilization, the suspension was centrifuged at 10,000 rpm for 15 min using centrifuge (REMI Centrifuge, Mumbai, India). The supernatant was separated, filtered, and quantified by UV spectrophotometer at 205 nm [21]. The EE was calculated from following equation.

$$EE(\%) = \frac{W_t - W_s}{W_t} \times 100$$
⁽²⁾

Where, W_t represents the total amount of orlistat used for the preparation of nanoparticles and W_s represents the amount of unencapsulated orlistat present in the supernatant.

In vitro drug release study

The release of orlistat from CONPs was determined using dialysis tube. Nanoparticles equivalent to 50 mg orlistat (dispersed in 10 ml of medium) were loaded in a dialysis cassettes (MW 12,000–14,000 g/mol, pore size of 2.4 nm). The bags were suspended in dissolution vessels containing 500 ml of phosphate buffer (pH 7.4) at 37°C \pm 0.5°C with a paddle rotation speed at 80 rpm (USP Type-II). Aliquot of 5 ml samples was withdrawn at predetermined intervals by maintaining the sink condition. Further, the samples were filtered through a 0.22 μ m membrane filter disk and analyzed. The experiment was repeated for six independent observations. To study the possible release mechanisms of orlistat, the drug release data were fitted to various kinetic models such as zero-order, first-order, Higuchi, Hixson Crowell, and the Korsmeyer–Peppas models [22].

Identification of optimized formulation

Based on the entrapment efficiency and drug release data of 17 formulations, numerical optimization technique was adapted for optimizing the formulation variables to obtain desired responses. Further experiments were repeated in triplicate to determine the dependability of optimized conditions. Mean values of experimental data were compared against predicted values and thus percent error was determined.

Table 2: Levels of independent and dependent variables used in BBD

Factor	Levels used, actual (coded)			
	Low (-1)	Medium (0)	High (+1)	
Independent variables				
A=Chitosan conc. (%w/v)	0.5	1	1.5	
B=Sod. TPP (%w/v)	0.4	0.6	0.8	
C=Orlistat (mg)	60	90	120	
Dependent variables				
Y ₁ =% Entrapment	Maximize			
Efficiency (%EE)		Maximize		
Y ₂ =% Drug Release (%DR)				

Surface engineering of optimized formulation

Preparation of Eudragit L 100 coated CONPs (ECONPs)

As per Tayel *et al.* (2015) method, the optimized nanoparticles were sonicated (5 min) in 50 ml phosphate buffer (pH 6.8) to which Eudragit L100 (0.5 % w/v) was previously dissolved. The resulting dispersion was centrifuged at 10,000 rpm for 15 min; the sediment obtained was frozen, lyophilized using lactose (1% w/v) as cryoprotectant. Thus, obtained ECONPs were characterized [14,23].

Characterization of optimized ECONPs

Particle size, zeta potential, and Poly dispersity index (PDI)

The particle size, zeta potential, and PDI of ECONPs were measured by dynamic light scattering (DLS) using a Zetasizer (Horiba SZ-100). DLS measurements were performed at 25±0.5°C with a scattering angle of 90° [24].

Surface morphology

The surface morphology of ECONPs was characterized using scanning electron microscope operating at 15 kV (Quanta FEG 200). NPs placed in the electron microscope were non-destructively bombarded by a finely focused beam (probe) of electrons. The secondary electrons produced by the NPs were used to characterize particle morphology. Dried ECONPs were adhered on to the aluminum stubs using double sided adhesive tape and the stubs were sputter coated with gold for 300 S at 15 Ma. The samples were then randomly scanned and photomicrographs were taken with SEM [25].

X-ray diffraction studies

X-ray diffraction (XRD) experiments were performed using X-ray diffractometer (Horizon,) using Cu K2 α rays ($\lambda = 1.54056$ Å) with a voltage of 25 kV and a current of 30 mA, in flat plate $\theta/2\theta$ geometry, over the 2 θ ranges 25–70°, with a step width 0.05° and a scan time of 2.0 s per step. Diffraction patterns for orlistat, chitosan, and drug loaded nanoparticles were analyzed [26].

Differential scanning calorimetry

Differential scanning calorimetric analysis was used to characterize the thermal behavior using differential scanning calorimetry (DSC-60, Shimadzu). About 2.0 mg of dried ECONPs was crimped in a standard aluminum pan and heated from 20°C to 350°C at a heating rate of 10°C/ min under constant purging of nitrogen (40 ml/min) [27].

In vitro drug release study of ECONPs

In dialysis tubing, ECONPs equivalent to 50 mg of orlistat was loaded with 10 ml medium. The study was conducted in 0.1N HCl (pH 1.2, 2 h) and then in phosphate buffer (pH 6.8). Release of drug from ECONP's in the initial 2 h and later was analyzed [28].

Stability studies

The stability of ECONPs was examined as per the International Council of Harmonization (ICH) guidelines, Q1A (R2). The optimized freeze dried ECONPs were kept in sealed glass vials (n=3) and were stored at 25±2°C with a relative humidity (RH) of 60±5% for 3 months in stability chamber (Remi, Mumbai, India). Samples were collected at a monthly interval and evaluated for morphology, zeta potential, FTIR, drug content, and DR [29].

Lipase inhibition

Since the majority of triglyceride hydrolysis is done by pancreatic lipase, porcine pancreatic lipase was used as a model enzyme. Crude lipase was dissolved in reaction buffer (10 mg/ml) and centrifuged at 7000 rpm for 10 min to remove insoluble substances. About 1 mg/ml stock solution in 1% DMSO was prepared, from which five different solutions were prepared with the following concentrations: 50, 100, 200, 300, and 400 μ g/ml. All the solutions were prepared freshly before the study. A stock solution of pNPB (p-nitrophenyl butyrate) was prepared by dissolving 20.9 mg of PNPB in 2 ml of acetonitrile.

In vitro assay for determination of lipase inhibition

Lipase assay were performed in a 96-well, clear, flat bottomed plate with 200 μ l reaction volume. pNPP was used as a substrate with a reaction buffer of 50 mM sodium phosphate, 5 mM sodium deoxycholate, and 10% isopropanol at pH 8.0. 200 μ l reaction volumes were used and substrate conversion was monitored at 410 nm. All assays were run at 37°C and reported results are average of three replicates [9].

In vitro cell line study (MTT assay)

Cell lines and culture conditions

The cell permeability studies of test samples were carried out against various cell lines as per the standard protocols. Mouse fibroblast 3T3-L1 preadipocytes, NSC-34 (Mice motor neuron like), and Kidney epithelial cells (Vero cells) used in the study were procured from National Centre for Cell Science (NCCS), Pune, India. Cells were cultured in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% (v/v) fetal bovine serum (FBS), 1% antibiotic/antimycotic solution and the cells were routinely sub-cultured and propagated in a humidified CO₂ incubator at 5% CO₂, 37°C, and 95% humidity.

Sample preparation

Stock solution (1 mg/ml) of the test sample was prepared in dimethyl sulfoxide (DMSO, 1% v/v). The working solutions were prepared by serially diluting the stock solutions with the culture medium. Finally, the working solution sets were filtered using 0.22 μ m sterile syringe filters (Millipore, India) before use.

Method

The cell cultures were trypsinized and the cell count was adjusted to 1.0×10^5 cells/ml using a medium containing 10% new born calf serum. The diluted cell suspension (0.1 ml) was inoculated to 96-well microplates and incubated for 24 h and allowed to adhere. About 100 µl of test samples (ECONPs) and pure orlistat were added to each well. The plates were then incubated at 37°C in 5% CO₂ atmosphere for 72 h incubation, and then aspirated. About 10 µl Tetrazolium salt (MTT) solution was added to each well and further incubated for 4 h at 37°C. After incubation, 100 µl of DMSO was added to each well and after 15 min the absorbance was measured at 540 nm using microplate reader [30-31].

$$\%Viability = \frac{Absorbance of the test sample}{Absorbance of the control} \times 100$$
(3)

RESULTS

The Box–Behnken design matrix with independent and dependent variables along with their experimental levels is demonstrated in Table 2 and their responses are illustrated in Table 1. The independent variables measured for different batches showed wide variation ranging from 41.68 to 97.83% for EE and from 62.37 to 97.52% for DR. Obtained values clearly indicated the EE and DR of CONPs were influenced by selected variables. The following second-order polynomial equations in coded form were established to draw the relationship between dependent and independent variables upon multiple regression analysis.

Y₁=82.84+6.91A+3.40B+10.05C+2.16A²-11.13B²-9.94C²+11.62AB+6.14AC-7.65BC (4)

 Y_2 =86.60+10.13A+4.04B+4.88C-4.74A²-2.95B²-5.46C²+4.77AB+1.39AC-5.49BC (5)

Where, Y_1 and Y_2 are EE and DR of ECONPs. A, B, and C are amounts of chitosan, TPP and orlistat, respectively. Interaction terms (AB, AC, and BC) were evident with change of responses while two variables changed simultaneously, while the effect of changes in each single variable on the response was reflected by the main effect terms (A, B, and C) and quadratic terms (A², B², and C²).

The parity plots (Fig. 1) demonstrated the correlation between model predictions and observed responses. High correlation coefficient values (R_1 =0.9556 for EE and R_2 =0.9781 for DR) demonstrated good correlation between observed responses and responses predicted by the quadratic models. Normal (percentage) probability plots of the residuals (Fig. 1) demonstrated that errors were normally distributed, independent of each other with homogenous error variance. Residual plots of Fig. 1 showed random distribution of residuals without any trend, indicated good prediction of maximum response along with constant variance. These data further confirm the reliability of quadratic models.

Interactive effects of independent variables on the responses were demonstrated by 3D response surface graphs and two-dimensional contour plots (Figs. 2-3). The plot was function of two factors, while maintaining all other factors at fixed levels. The graphs (Figs. 2 and 3) were derived from equations (4 and 5) by keeping one variable constant at its central level for each plot, while varying the levels of other two variables within the experimental range. Fig. 2 illustrated the response surface plots and their corresponding contour plots with a slight increase in EE (73.84–74.41%) with increased chitosan concentration. An initial increase (73.48–79.54%) and subsequent decrease (59.24%) in EE was observed with increase in TPP concentration, whereas linear increase in EE was observed with increased orlistat dose (54.36–77.59%) based on equation (4).

The response surface and contour plots of DR were (Fig. 3) based on Equation (5). As evident from the graphs, an increase in chitosan concentration increased the DR (69.82–80.14%) and increase in TPP concentration had a dual effect, that is, slight increase (72.19%), followed by decrease (68.76%), whereas orlistat dose had a positive effect on DR (55.35–73.23%). The drug release from CONPs is depicted in Figs. 4 and 5.

The optimum conditions to fabricate ECONPs were obtained by solving equations (4 and 5) for different values of independent variables within

the experimental range, followed by desirability calculation. Optimum condition case was when conditions yielding nanoparticles with high EE and DR (more than 60%). Composite desirability of the system at different conditions within the experimental range was calculated, and the conditions at which the composite desirability of system at 0.90–1 were selected as the optimal conditions.

Identification of optimized formulation

EE and DR were selected as responses for optimization of nanoparticles. EE was ranged between 41.68 and 97.83% whereas DR was between 62.37 and 97.52% for CONPs. Based on the numerical optimization technique, the optimum conditions for production of CONP's with maximum EE and DR in the experimental area in this study were found to be chitosan concentration (900 mg), TPP concentration (696.81 mg), and optimum dose of orlistat (95 mg). At these conditions, nanoparticles with EE of 80.03% and DR of 85.35% were obtained and confirmed by check point analysis which was repeated in triplicate to determine the dependability of optimized conditions. The observed responses (78.62% for EE and 83.14% for DR) for the optimized formulation were close to the predicted values (80.03% for EE and 85.35% for DR) with percent error of 1.76% for EE and 2.58% for DR with a desirability of 0.903 demonstrated the accuracy of predicted optimum conditions.

Characterization of optimized nanoparticles

Particle size and zeta potential

The average particle size of the optimized ECONPs was found to be 534.6 nm, electro kinetic potential was +5.7 mV with PDI was <1 indicated the formation of stable nanoparticles.

Surface morphology-SEM

Optimized ECONPs (Fig. 6) were found to be discrete, regular, spherical to oval shaped, and loose aggregates with rough texture due to uneven spreading of coating solution.



Fig. 1: Parity charts of predicted versus observed responses for (a) entrapment efficiency and (b) drug release. Normal (percentage) probability of residuals for (c) entrapment efficiency and (d) drug release. Residual plot of (e) entrapment efficiency and (f) drug release



Fig. 2: Three-dimensional response surface plots showing the effect of variables on entrapment efficiency, (a) effect of chitosan and TPP, (b) effect of chitosan and orlistat (c) effect of TPP and orlistat. Contour plots showing effects of variables on EE. (d) Effect of chitosan and TPP, (e) effect of chitosan and orlistat, (f) effect of TPP and orlistat



Fig. 3: Three-dimensional response surface plots showing the effect of variables on DR, (a) effect of chitosan and TPP, (b) effect of chitosan and orlistat (c) effect of TPP and orlistat. Contour plots showing effects of variables on DR (d) effect of chitosan and TPP, (e) effect of chitosan and orlistat (f) effect of TPP and orlistat

FTIR study

The spectrum of orlistat (Fig. 7) had prominent peaks at 3336.55 (N-H stretching), 2926.54 (C-H stretching), 1837.21 (C=O stretching), 1522.91

(C=C aromatic stretching), and 1200.04 (C-N bending), respectively. The FTIR spectrum of chitosan showed characteristic peak at 1515.77 (CH stretching), 1628.63 cm⁻¹ (C=O stretching of amide I), 1700.78 cm⁻¹ (C=O),



Fig. 4: Drug release profiles of CONPs F1-F9



Fig. 5: Drug release profiles of CONPs of F10-F17



Fig. 6: Morphology of ECONP



Fig. 7: FTIR spectra of Chitosan, TPP, Orlistat, and ONP

and 3421 cm⁻¹ (combined peaks of primary -NH₂ and -OH). FTIR of TPP showed a characteristic peak at 2915 cm⁻¹ (C-H stretching). No apparent shift in the principal functional groups of orlistat was identified in the FTIR spectra which indicated the compatibility of orlistat with excipients.

X-ray diffraction

XRD studies of orlistat (Fig. 8a) showed a range of sharp crystalline peaks at different 2θ values from 10.0 to 30.0. The developed formulation clearly exhibited the presence of crystalline phase of orlistat in nanoparticles.

Differential scanning calorimetry

DSC thermograms of chitosan, orlistat, and optimized formulation are depicted in Fig. 8b. A broad endothermic peak of orlistat was depicted at $142-175^{\circ}C$ and peak was shifted to $118-145^{\circ}C$ in the optimized formulation.



Fig. 8: (a) XRD of ECONPs and (b) DSC thermograms of ECONPs



Fig. 9: Drug release of ECONPs

Drug release

Release of orlistat from ECONPs in initial 2 h (P_{2h}) and 24 h (P_{24h}) is depicted in Fig. 9. About 5.24% of drug was released in initial 2 h ($P_{2h'}$ pH 1.2) and 80.86 % in subsequent 24 h ($P_{24h'}$ pH 6.8) effective at the desired area. The release of orlistat from the NPs followed biphasic release with First-order kinetics and Higuchi model.

Stability studies

Stability data demonstrated that there were no physical changes of ECONPs and no shift in the principal functional groups of orlistat as noticed in Fig. 10a. There was no significant difference between the samples stored at stressed conditions in terms of physicochemical integrity, drug content (84.87%), and drug release (80.86–84.4%) of optimized formulation (Fig. 10b). The developed ECONPs were found to be physicochemically intact over a period of three months.

Lipase inhibition

 IC_{50} is characteristic parameter of the inhibitors; however, it also depends on the substrate, lipase, their concentration and temperature. The IC_{50} value of ECONPs and pure orlistat was found to be 8 µg/ml and 11.25 µg/ml.

In vitro cell line study (MTT assay)

Before determining the *in vivo* effects of optimized formulation on biological cells, its impact on cell permeability and survival were evaluated using MTT assay. In this study, ECONPs showed a greater

extent of cell viability in all three cell lines. Function of cell viability, CTC_{50} values of ECONPs were 27.1, 32.21, and 26.32 µg/ml and that of pure orlistat were 48.25, 40.17, and 32.63 µg/ml, respectively, for MF 3T3-L1, MCF-12A, and NSC-34 cells.

DISCUSSION

Orlistat-loaded chitosan nanoparticles were processed by ionic gelation method where positively charged amine groups undergone interaction with negatively charged TPP ions. Hydrophilic chitosan is an appropriate choice to fabricate CONPs with higher EE and yield proportionate to polymer concentration. In addition to chitosan concentration, amounts of cross linker (TPP), orlistat and physicochemical interactions between orlistat and chitosan showed significant role in drug EE and DR as ionic gelation had better encapsulation of hydrophobic orlistat. Quantum of each ingredient was selected by BBD to optimize the formulation of CONP. Overall impact of independent variables on the responses was elucidated through probability plots and residual plots. However, predicted and experimental responses lie nearer to and on straight line explained that derived model envisaged linear relationship between formulation variables and responses. Data were randomly dispersed within limits of ± 3 to confirm the BBD model was adequately fit for the experimental design of CONP as shown in Fig. 1. As response surface graphs and contour plots (Fig. 2 and 3) demonstrated that the effect of variables on responses, increase in chitosan concentration (to a certain range) resulted in linear increase in EE, and further increase lead to decreased EE. However, increased dynamic viscosity of the polymeric (CS) solution at higher concentration limited the entry of orlistat in hydrophilic polymeric network of chitosan. Similarly, increase in concentration of TPP resulted in initial increase in EE with subsequent reduction (above 600 mg). Nevertheless amount of orlistat had a direct and positive effect on EE. Same was signified by curvilinear contour plots with strong interactions between variables. On the other hand, an increase in chitosan concentration resulted with increased drug release due to high degree of swelling of chitosan matrix and resulted more dissolution of orlistat. Attribution was fortified with reduced intensity of characteristic peaks of orlistat which was due to dilution and partial solid state interaction of orlistat as evident



Fig. 10: (a) FTIR spectra of ECONPs from stability study, (b) drug release profiles of ECONPs (stability study)

with Fig. 7. Similarly increased orlistat concentration caused a linear increase in DR due to more drug availability within polymer matrix. Conversely, higher degree of TPP retarded the release of orlistat from chitosan network, but a slight increase (72.19%) followed by decrease (68.76%) in DR was resulted as displayed as curvilinear contour plots with moderate interaction between the variables. However, at optimum concentrations of drug, carrier and TPP, high EE, and DR were deduced. Beyond optimized amounts, these three ingredients had no profound effect on both responses. Software generated model graphs (predicted vs. actual and residual vs. predicted) for all responses were produced without outliers and indicated the adequacy of BBD. As BBD was a well suitable experimental design in predicting the responses of CONPs, optimal concentrations of integrants and so as to produce an optimized CONP with 85.8 nm size and +5.7 mV zeta potential. CONPs released the orlistat with an initial burst release due to weak physical adsorption on huge surface area of nanoparticles, followed by sustained release. Consequently, Eudragit L100 treated CONPs released orlistat at duodenal specific pH in three phases, namely, initial lag phase (pH 1.2, P_{2b}) as attributed as the impermeability of acidic medium through the coated layer of Eudragit L100, followed by burst release due to complete dissolution of Eudragit coat exposing surface adsorbed orlistat, later sustained release phase (pH 6.8, P_{24h}). Entangled orlistat in chitosan polymeric network was released out in sustained mode by following the first-order release with Higuchi kinetic model up to 24 h. As demonstrated, pH dependent solubility, gastroretentive potentials of Eudragit L100 and complied the specifications of USP (2013) for enteric-coated ECNOP, with P_{2b} of less than 10%. Further, the sustained drug release profiles for 24 h, at pH 6.8 attributed due to the protonation or deprotonation of Eudragit L100 at various pH conditions. As per earlier studies, the carboxylic groups of Eudragit L100 undergone protonation at pH values below the pKa of methacrylic acid of 4.23. Under the simulated acidic milieu of the stomach (pH 1.2), the enteric surface-coated nanoparticles were protonated thereby decrease the surface charges and electrostatic repulsions. Thus, established Van der Waals forces aggregated the ECONPs and conversely at simulated intestinal milieu (pH 6.8) carboxylic groups were deprotonated [28] and thus biphasic release of orlistat was manifested. As drug release at pH 6.8 showed comparable trends with targeted release, ECNOPs could facilitate the reduction in dosing frequency for patient compliance. Addition to above context, enlarged particle size to 534.6 nm (ECONPs) could facilitate duodenal targeting and increased residence time by anchoring the particles at duodenum instead of being rapid absorption.

Although the polymeric NPs have undergone ionic gelation with counterions (TPP), they still possessed positive charge due to amino groups of hydrophilic chitosan/Eudragit. Extended positive charge ensued the stability of CS-NPs by virtue of optimum zeta potential (+5.7 mV). These positively charged domains of CS had electrostatically interacted with sialic groups of mucin and potentiated mucoadhesion of NPs and further inhibit duodenal lipase activity. However, the low electrokinetic potentials resulted with weak repulsive forces between particles which could offer more bioabsorption. With improved solubility of orlistat on dispersion of formulation in media bestowed lipase inhibition with orlistat and lag time for ECONPS was manifested due to coatings of Eudragit. Subsequently, enteric polymer treated orlistat nanoparticles showcased viability against selected cell lines without affecting their functions. As ECNOPs were better compatible and permeable to selected cell-lines, reduction of MTT (yellow) by mitochondrial succinate dehydrogenase to an insoluble formazan product (dark purple)in metabolically active cells was established without any effect on viability of cells. As data not shown, orlistat alone has also possessed similar mode of compatibility and permeability with cells. These outcomes fortified the duodenal targeting of orlistat with extended residence of ECNOPs, superior lipase inhibition, without altering the viability of duodenal cells, proper mucoadhesion, and bioabsorption.

CONCLUSION

Orlistat-loaded CS-NPs were successfully processed by ionic gelation technique. BBD was proved to be efficient, logistic approach in designing and optimizing the CONPs. Further surface engineering of optimized formulation (ECONPs) with enteric Eudragit polymer facilitated the release of orlistat at targeted pH over 24 h by following first order release with Higuchi kinetic model. Spherical ECONPs produced were stable, optimum sized with favorable positive surface charge. Sustained release of drug at desired pH, enhanced *in vitro* lipase inhibition and better cell viability of ECONPs become promising delivery system for duodenal targeting of orlistat. Further *in vivo* and preclinical studies are essential to prove the concept of duodenal targeting of ECONPs for antiobesity potentials of ECONPs.

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AUTHORS CONTRIBUTIONS

All authors contributed to the conception, design, interpretation, and compilation of results, and execution of the study. The corresponding author drafted the manuscript, and incorporated the suggestions from co-authors.

CONFLICT OF INTEREST

The authors declare that there are no conflicts of interest regarding the publication of this manuscript.

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